



CF-40

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned  
Group Art Unit : 2164  
Applicants : Andrew C. Gilbert et al.  
Application No.: 09/981,565 Confirmation No.: 7706  
Filed : October 17, 2001  
For : SYSTEMS AND METHODS FOR BID/OFFER SPREAD  
TRADING

Box MISSING PARTS  
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

**RECEIVED**

JAN 17 2003

Technology Center 2100

SUBMISSION OF EXECUTED  
DECLARATION AND POWER OF ATTORNEY

Sir:

The executed combined Declaration and Power of Attorney (attached hereto) is to be filed in application No. 09/981,565, which was filed on October 17, 2001. A copy of the specification as filed is also attached hereto.

Respectfully submitted,

---

Jeffrey C. Aldridge  
Registration No. 51,390  
Agent for Applicants  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, NY 10020-1104  
Tel.: (212) 596-9000



CF/040

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR  
BID/OFFER SPREAD TRADING

the specification of which

[ ] is attached hereto

[X] was filed on October 17, 2001  
as Application No. 09/981,565.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I do not know and do not believe that the invention was ever patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application.

I do not know and do not believe that the invention was in public use or on sale in the United States of America more than one year prior to this application.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

\_\_\_\_\_  
(Number) (Country) (Filing Date)

[ ] [ ]  
Yes No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

60/280,668  
(Application No.)

March 30, 2001  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
----------------------------	------------------------	---

As a named inventor, I hereby appoint the following attorneys or agents to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

<u>Francis G. Rushford, Esq.,</u>	<u>Reg. No. 34,421</u>
<u>Laurence S. Rogers, Esq.,</u>	<u>Reg. No. 28,465</u>
<u>Jeffrey H. Ingberman, Esq.,</u>	<u>Reg. No. 31,069</u>
<u>Joel Weiss, Esq.,</u>	<u>Reg. No. 44,398</u>
<u>James A. Leiz,</u>	<u>Reg. No. 46,109</u>

Send correspondence to: Joel Weiss  
FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1105

Direct telephone calls to: Joel Weiss  
(212) 596-9000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Andrew C. Gilbert (deceased)

Signed for deceased  
first inventor by  
legal representative  
(wife) pursuant to  
37 C.F.R. § 1.42

Mary Ann Gilbert 12/10/02  
Mary Ann Gilbert Date

Legal representative's residence 5 Scarlet Oak Road,  
Califon, New Jersey 07830  
Legal representative's citizenship USA  
Legal representative's Post Office Address 5 Scarlet Oak  
Road, Califon, New Jersey 07830

Deceased first inventor's last known residence 5 Scarlet  
Oak Road, Califon, New Jersey 07830  
Deceased first inventor's citizenship USA  
Deceased first inventor's last known Post Office Address  
5 Scarlet Oak Road, Califon, New Jersey 07830

Full name of second inventor Andrew Stergiopoulos  
(deceased)

Signed for deceased  
second inventor by  
legal representative  
(mother) pursuant to  
37 C.F.R. § 1.42

Angela Stergiopoulos Date

Legal representative's residence 26 Hemlock Drive, Great  
Neck, New York 11024

Legal representative's citizenship USA

Legal representative's Post Office Address 26 Hemlock  
Drive, Great Neck, New York 11024

Deceased second inventor's last known residence 420 East  
55th St., Apt. 7J, New York, New York 10020

Deceased second inventor's citizenship USA

Deceased second inventor's last known Post Office Address  
420 East 55th St., Apt. 7J, New York, New York 10020